



State Innovation Models Initiative

Model Design Introductory Call

1/6/15

Agenda

- Roll Call
- CMS Innovation Center and SIM Award
- Team Introduction
- Program Terms & Conditions
- Looking forward
- Questions

The CMS Innovation Center

Identify, Test, Evaluate, Scale

“The purpose of the [Center] is to test innovative payment and service delivery models to reduce program expenditures...while preserving or enhancing the quality of care furnished to individuals under such titles.”

- *The Affordable Care Act*

State Innovation Model (SIM)

CMS is testing the ability of state governments to utilize policy and regulatory levers to accelerate health care transformation



Improve population health



Improve quality of care delivery



Increase cost efficiency / expand value-based payment

The State Innovation Model Team

- State Innovation Group Director: Karen Murphy, PhD, RN
- State Innovation Group Deputy Director: CDR Frances Jensen, MD, MS
- SIM Team Lead: Clare Wrobel, MHSA

SIM Project Officers

Allison Pompey, DrPH, MA	Katherine Griffith, MHS
Bridget Harrison, MAP	Leah Nash, MPH
Erick Carrera, JD	Patricia Simino Boyce, PhD, RN
Fran Kelleher, PhD, MBA	Richard Jensen, MPP
Janet Heinrich, DrPH, RN	Sheetal Shah, MPH
Jessica Roach, MPH	Zoe Hruban, MHSA
Joshua Traylor, MPH	

Program Terms & Conditions – Grant/ Cooperative Agreement Administration

- **Program Official:** Karen Murphy (Karen.Murphy@cms.hhs.gov)
 - *Direct questions to your assigned Project Officer*
- **Grants Management Specialist:** Gabriel Nah (Gabriel.Nah@cms.hhs.gov)
- **Compliance:** cost principles, duty to track all parties receiving federal funds, public policy requirements, etc...
- **Budget and Project Period:** February 1, 2015 through January 31, 2016
- **Cooperative Agreement:** substantial federal involvement during performance
- **Restriction of Funds:** Require prior approval requests
- **Improper use of State Innovation Model Funds**
- **Prior Approval Requests:** Allow 30 days for review by CMS
- **Required Financial Reports:** Federal Financial Report (SF 425) due quarterly

Program Terms and Conditions – Scope of the Model Design Project (**Webinar 1**)

- **Scope of Model Design Project:** Stakeholder engagement expectations
- **Collaborative Responsibilities:** Participation with CMMI staff, program support teams and evaluation staff
- **State Health System Innovation Plan:** Addressing the following areas
 - Description of State Health Care Environment
 - Report on Stakeholder Engagement and Design Process Deliberations
 - Health System Design and Performance Objectives
 - Value-Based Payment and/or Service Delivery Model
 - Plan for Health Care Delivery System Transformation
 - Plan for Improving Population Health
 - Health Information Technology Plan
 - Workforce Development Strategy
 - Financial Analysis
 - Monitoring and Evaluation Plan
 - Operational Plan

Program Terms & Conditions – Program Monitoring and Support (**Webinars 2 & 3**)

- **Program Support:**
 - Technical assistance
 - Learning system
 - Evaluation: cooperation with independent federal evaluation
- **Program Engagement and Communication:** Biweekly calls with CMS Project Officer
- **Program Progress Reports:** To be submitted via Salesforce management tool
 - Quarterly progress report is due 30 days after each project quarter
 - Final report due 90 days after the project period end date

Looking forward

February

- Biweekly (or weekly) calls with Project Officers
- Orientation Webinars:
 - Overview of guidance documents and templates
 - Introduction to program support teams
 - Training on program systems (Salesforce)
- **Due date:** February 28th -- Operational Plan updates

March

- In-person meeting (late March or early April)
- **Due date:** March 30th – Stakeholder Engagement Plan

May

- **Due date:** May 30th-- first quarterly progress report due

Questions

FAQs:

- Will we receive an updated Notice of Grant Award that contains the name and contact information of the designated CMS SIM Design Award Project Officer?
- Our Program Terms and Conditions lists Karen Murphy as the CMS Program Official we should reach to for technical and programmatic questions. Should we contact Karen directly, or do we submit technical or program questions through our CMS Project Officer?
- In addition to the reporting requirements listed in 45 CFR 74.51, is there a template and/or specific information that CMS requires for each state's final Innovation Plan?
- What will be the evaluation framework used for the projects? Will the contractor CMS hires to conduct the evaluations engage with the states during the SIM Design project period?
- Is there an estimated time range between a state notifying CMS of specifics needed to draw down restricted funds (e.g. contractor information), and CMMI approving the state to draw down the funds?
- Will CMS require states to use a specific management tool for tracking milestone information and/or for submitting the required quarterly reports?

Additional Questions

