

# STATE INNOVATION MODEL (SIM) DESIGN

PROJECT UPDATE



# State Innovation Model (SIM)

**CMS is testing the ability of state governments to utilize policy and regulatory levers to accelerate health care transformation**



Improve population health



Improve quality of care delivery



Increase cost efficiency / expand value-based payment



# Important Considerations

- The SIM initiative is a 5-year effort
  - Year 1 is design
  - Years 2-5 are implementation & evaluation
- All year 1 activities are focused on developing the State's innovation plan with emphasis on:
  - Establishing & maintaining necessary organizational structure
  - Broad stakeholder engagement & participation
  - Formulation and finalization of the final plan

# WV Application Process

- Origins in DHHR establishment of Health Innovation Collaborative (Dec 2013)
- SIM Round 2 FOA released May 22, 2014
- Required LOI due June 6, 2014
  - Eligible applicants include 50 state Governor's Offices, US Territories Governors' Offices, and the Mayor's Office of District of Columbia
  - State may propose (with Governor's endorsement) that an outside organization receive and administer funds
- Application due July 21, 2014

# WV Application Process

- At the request of the Governor's Office and the DHHR Secretary's Office, WVU (School of Public Health) agreed to serve as the applicant organization
- Application developed by team (Samples, Sullivan, Clark, & Palas; Cottrell, King, Fitzpatrick, Pollard, Baus & Ruseski; Hassen & Campbell)

# Summary of Stated Goals (WV SIM Design Application)\*

- Increase number of PCMHs
- Increase care coordination
- Improve patient access to care
- Improve quality of care
- Expand use of HIT within care settings
- Expand use of data to drive improvement

*\*Focus is on primary care and the highest cost beneficiaries across the major payers*

# Subsequent Activities

- Two rounds of questions/budget negotiations with CMS during the review process
- Received notification of award on 12/16/14
  - Feb 1, 2015 start date
  - Cooperative agreement
- Introductory webinar with CMS on 1/6/15
- Initiated bi-weekly calls with project officer on 1/8/15
- CMS Guidance documents/webinar on 2/5
- CMS Intro to Technical Assistance webinar on 2/12
- Meetings with project development team
- Development and posting of staff positions
  - SIM Project Manager
  - SIM Administrative Assistant
  - SIM Project Coordinator

# Program Terms and Conditions – Scope of the Model Design Project

- **Scope of Model Design Project:** Stakeholder engagement expectations
- **Collaborative Responsibilities:** Participation with CMMI staff, program support teams and evaluation staff
- **State Health System Innovation Plan:** Addressing the following areas
  - Description of State Health Care Environment
  - Report on Stakeholder Engagement and Design Process Deliberations
  - Health System Design and Performance Objectives
  - Value-Based Payment and/or Service Delivery Model
  - Plan for Health Care Delivery System Transformation
  - Plan for Improving Population Health
  - Health Information Technology Plan
  - Workforce Development Strategy
  - Financial Analysis
  - Monitoring and Evaluation Plan
  - Operational Plan

# Program Terms & Conditions – Program Monitoring and Support

- **Program Support:**
  - Technical assistance
  - Learning system
  - Evaluation: cooperation with independent federal evaluation
- **Program Engagement and Communication:** Biweekly calls with CMS Project Officer
- **Program Progress Reports:**
  - **Due date:** February 28<sup>th</sup> -- Operational Plan updates
  - **Due date:** March 30<sup>th</sup> – Stakeholder Engagement Plan
  - **Due date:** May 30<sup>th</sup>-- first quarterly progress report due

# Required Operational Plan Update

- Timeline for submitting drafts of all components of the State Health System Innovation Plan
- Governance, key personnel, roles, responsibilities, milestones, dates

# State Health System Innovation Plan

## Final Deliverable for SIM Design

- Description of State Health Care Environment
- Report on Stakeholder Engagement & Design Process Deliberations
- Health System Design & Performance Objectives
- Value-Based Payment and/or Service Delivery Model
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- Financial Analysis
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- Operational Plan

# State Health System Innovation Plan (cont'd)

- Additional expectations include:
  - Presentation of Vision, Goals, Primary Drivers, Secondary Drivers, Planned Interventions
  - Timeline for transformation, including milestones & metrics for tracking progress
  - Description of policy, regulatory and/or legislative changes necessary
  - Description of any federal waiver or SPA requirements and their timing, including changes or additions required for Medicaid and CHIP
  - Address multi-payer commitment

# Next Steps

- Submission of Operational Plan Update (2/28)
- Posting of project documents and related information on Health Innovation Collaborative website
- Gather additional input during HIC meeting (3/4)
- Submit Stakeholder Engagement Plan (3/31)